CHAPTER 6

Annual Performance Appraisal Report & Service Books

1. Channels of Writing APARs

1.1. IFS Officers working in the Department1

Name of the cadre post	Reporting Authority	Reviewing Authority	Accepting Authority
PCCF (Head of Forest Force)	Pr. Secretary, Forest	Chief Secretary	Chief Miniser
PCCF & Chief Wildlife Warden	Pr. Secretary, Forest	Chief Secretary	Chief Miniser
Addl. Principal Chief Conservator of Forests	PCCF under whose direct control the officer is working	Pr. Secretary, Forest	Minister Forest/C.M.
Addl. PCCF (Admn)	PCCF under whose direct control the officer is working	Pr. Secretary, Forest	Minister Forest/C.M.
Addl. PCCF (WL)	PCCF (WL)	Pr. Secretary, Forest	Minister Forest/C.M.
Addl. PCCF (Production)	PCCF under whose direct control the officer is working	Pr. Secretary, Forest	Minister Forest/C.M.
Addl. PCCF(Forest Protection) and PCCF under whose direct Nodal Officer, Forest (Conservation) control the officer is working Act, 1980	PCCF under whose direct control the officer is working	Pr. Secretary, Forest	Minister Forest/C.M.
Addl. PCCF (Soil Conservation)	PCCF under whose direct control the officer is working	Pr. Secretary, Forest	Minister Forest/C.M.

¹ GO No. F.5(10)Pers/A.I/79 dt.20th May, 2010

orest		ief Wildlife		ervator of
Pr. Secretary, Forest	PCCF	PCCF & Chief Wildlife Warden	PCCF	Chief Conservator Forests.
PCCF	CCF	CCF	CCF	Conservator of Forests
CCF (Under whose direct PCCF control the CF is working)	CF (Under whose direct control the DCF is working)	CF (Under whose direct control the DCF is working)	CF (Under whose direct control the WPO is working)	Deputy Conservator of Forests Conservator of Forests
CF, Training, Jaipur CF(Research) CF (Medicinal Plants) CF, (Ecotourism), Jaipur CF (Project Tiger), Alwar, Kota CF (Jt. Forest Managment), Jaipur CF&TA to PCCF CF (Territorial)	DCF (Territorial) (District Hqrs). All CF (Under whose direct CCF control the DCF is working)	DCF (WL)	Working Plan Officer	ACF

1.2. IFS Officers working outside the Department²

Name of the post	Reporting Authority	Reviewing Authority	Accepting Authority
OSD & Addl. Secy. to Govt., Environment Deptt., Raj., Jaipur	Pr. Secretary, Environment	Chief Secretary	Chief Minister
CCF & Member Secy., Rajasthan State Medicinal Plants Board, Jaipur	Pr. Secy., Ayurveda	Chief Secretary	Chief Minister
CCF & Addl. Secy., Forest Deptt., Raj., Jaipur	Pr. Secy., Forest	Chief Secretary	Chief Minister
DCF & Ex-officio Dy. Secy., to Govt., Environment Deptt., Raj., Jaipur	Pr. Secy., Environment	Chief Secretary	Chief Minister

² GO No. F.5(10)Pers/A.I/79 dt.22nd June, 2010 & Aug 2011.

Executive Director, Rural Non Farm Chairman & M.D., RUDA Dev. Agency (RUDA)	Chairman & M.D., RUDA	Chief Secretary	Chief Minister
Member Secy., Raj., State Pollution Control Board, Jaipur	Pr. Secy., Environment	Chief Secretary	Chief Minister
Executive Director, Raj. State Beverage Corp. Ltd., Jaipur	M.D.	Pr. Secy., Finance	Chief Minister
O.S.D., Programme Implementation., Pr. Secy., Programme Raj., Jaipur	, Pr. Secy., Programme Implementation	Chief Secretary	Chief Minsiter
Director, ICDS & Ex-officio Dy. Secy. to Govt., Jaipur	Pr. Secy., Women & Child Development	Chief Secretary	Chief Minsiter
Mission Director, 12th Finance Commission, Jaipur	Pr. Secy., LSG	Chief Secretary	Chief Minsiter
Director cum Dy. Secy., Environment, Deptt., Raj., Jaipur	Secretary, Environment	A.C.S., Environment	Minster in charge, Environment
Member Secy. Raj. State Biodiversity Board	Chairman, Raj. State Biodiversity Board	Minister-in-charge, Environment	Chief Minister
1-3- RFS Officers ³			
Cadre	Reporting Authority	Reviewing Authority	Accepting authority
Deputy Conservator of Forests 1. Technical Assistant	CF / concerned CCF / PCCF	PCCF	Pr. Secy. Forest / Secretary Forest / Hon.Forest Minister
Soil Conservation Officer, Senior Planning, Research	Conservator of Forests	PCCF	Pr. Secy. Forest / Secretary Forest / Hon.Forest

Pr. Secy. Forest / Secretary Pr. Secy. Forests/Secretary Forests Forest / Hon.Forest Minister Minister PCCF (concerned) CF / PCCF Deputy Conservator of Forests Conservator of Forests Asst. Conservator of Forests Dy. Chief Wildlife Warden, DCF, Wildlife Assistant Conservator of Forests Senior Planning, Research Deputy Director, W.F.P. and Extension Officer, ³ GO No. F.12(4)Forest/ 05 dated 17.7.2007 Programme 3

2. Asst. Director, W.F.P.	Deputy Director	CF/PCCF	Pr. Secy. Forests/Secretary Forests
3. Wildlife Warden	Deputy Conservator of Forests CF/PCCF	CF/PCCF	Pr. Secy. Forests/Secretary Forests
4. Research Officer/Asst. Field DCF/Conservator of Forests Director	DCF/Conservator of Forests	CF/ CCF/PCCF	Pr. Secy. Forests/Secretary Forests
Asst. Soil Conservation officer	DCF/ SCO/Ex.EN	CF/PCCF	Pr. Secy. Forests/Secretary Forests
Asst. Horticulturist	Deputy Conservator of Forests CF/PCCF	CF/PCCF	Pr. Secy. Forests/Secretary Forests

1.4. Subordinate Staff⁴

Ranger Grade I	DCF/ ACF and officers of their CF / DCF officer and of PCCF / CCF/ CF	CF / DCF officer and of	PCCF / CCF/ CF
Ranger Grade II	level	their level	
Forester	DCF / ACF / Ranger Gr. I /	CF / DCF / ACF and	CCF/CF
Astt Forester / Forest Guard / Tractor Ranger Gr. II / Soil	Ranger Gr. II / Soil	officer of their level	CF / DCF and officer of
Guard	Conservation Assistant		their level
Junior Engineer / Overseer / Soil	DCF / ACF and officer of their CF / DCF and officer of	CF / DCF and officer of	PCCF / CCF / CF
Conservation Assistant	level	their level	
Research Assistant	CF / DCF / ACF and officer of CCF / CF / DCF &	CCF/CF/DCF&	PCCF/CCF/CF
	their level	officer of their level	
Surveyor / Fieldman / Amin / Tracer	DCF / ACF and officer of their CF / DCF and officer of	CF / DCF and officer of	CCF/CF
/ Ferroman	level	their level	
Chainman / Khallasi cum Chokidar	DCF / ACF and officer of their	CF / DCF / ACF and	CCF / CF / DCF & officer
	level / FSO / Ranger gr. I /	officer of their level	of their level
	Junior Engineer / Overseer /		
	Soil Conservation Assistant		
Silt Analyst/Silt Observer/Lab	CF/DCF/ACF and officers of	CCF / CF / Dy.CF &	PCCF / CCF / CF.
Assistant	their level	officers of their level.	

Draftsman / Inspector	CF / Dy.CF / ACF and officers CCF / CF / Dy.CF & of their level / F.S.O. officers of their level.	CCF / CF / Dy.CF & officers of their level.	PCCF / CCF / CF.
Foreman / Carpenter	Dy.CF / ACF / and officers of CF / Dy.CF. their level.	CF / Dy.CF.	CCF / CF
Motor Mechanic	-op-	-op-	-op-
Driver / Pump Driver / Bulldozer Operator	CF / Dy.CF / ACF and officers CCF / CF / Dy.CF & of their level	CCF / CF / Dy.CF & officers of their level.	PCCF / CCF / CF.
Project Operator	-do-	-op-	-do-

1.5. Ministerial Staff⁵

	The second secon		
a) Office Superintendent	CF / Dy.CF and officers of their level	CCF / CF	PCCF / CCF.
b) Office Assistant / UDC cum Steno	b) Office Assistant / UDC cum CF / Dy.CF / ACF and officers CCF / CF / Dy.CF and Steno of their level.	CCF / CF / Dy.CF and officers of their level	PCCF / CCF
c) Private Secretary	T.A. to Principal Chief Conservator of Forests	PCCF	PCCF
d) Sr.P.A. / PA / Stenographer	CCF / CF / Dy.CF and officers of their level	Addl.PCCF / CCF / CF	PCCF / CCF
e) LDC / LDC cum -Steno	CF /Dy.CF / ACF and officers of their level. Administrative officer (for PCCF office)	CCF / CF / Dy.CF & officers of their level	PCCF / CCF / CF.

Reporting officer means under whom the reportee has directly worked in the reporting period. Reviewing officer means who had been controlling officer of Reporting officer. Note: 1.

Accepting officer means officer who had been the controlling officer of the reviewing officer.

2. Time Schedule: -2.1. IFS Officers⁶

itegory of cases When CR is to be given to	Time Schedule for Officer
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Time Schedule of

⁵ PCCF Order No. F.2.C(32)76/Con./PCCF/7144 dated 5.12.98

	the Officer reported upon	reported upon for completing Part-II	Reporting Officer to complete CR
(a) Report at the end of Reporting Year	March / December depending on reporting year	30th April / 31st January	31st May / 28th February
(b) Report in the event of relinquishment of charge by officer reported upon.	Along with the communication regarding relinquishment of post.	Within 15 days of receipt of CR Format	Within 15 days of receipt of self assessment
c) Report in the event of relinquishment of charge by Reporting Officer	Along with the communication regarding relinquishment of CF format post	Within 15 days of receipt of CF format	Within 15 days of receipt of self-assessment but not later than 1 month after relinquishment.
d) Report in the event of retirement of officer reported upon	The month preceding the month in which the Officer reported upon is due to retire	Within 15 days of receipt of CF Format	Before the retirement of the Officer reported upon
e) Report in the event of The month preceding the retirement of Reporting Officer month in which the Reporting	The month preceding the month in which the Reporting	15 days before the retirement of Reporting Officer	Before retirement of Reporting Officer

Note: If the officer reported upon does not submit the CR after duly completing Part-II within the time schedule prescribed in column 3 above, the Reporting Authority shall write the report without the self-assessment and submit to the Reviewing Authority.

Officer is due to retire

2.2. RFS Officers

Submission of filled by APAR form, by the reportee, of	By 30th April.
preceding year, to CCF (Administration)	(e.g. APAR form of 2001-02 by 30.4.2002)
CCF (Adm.) will send the APAR form to Reporting officer	Within 7 days of the receipt of form latest by 7th May.
Reporting officer after duly filling the APAR form shall send the	Within 7 days of the receipt of APAR form CCF (Adm.).
form to Reviewing officer under intimation to CCF (Adm.)	Latest by 25th May.
Reviewing officer after duly filling the APAR form shall send	Within 7 days of receipt of APAR form from reporting
the form to accepting officer under intimation to CCF (Adm.)	officer. Latest by 7th June.
Accepting officer after duly filling the form shall send the form	Within 7 days of receipt of APAR form from Reviewing
to CCF (Adm.)	officer. Latest by 25th of June.
Sending of completed APAR form to State Government	By 30th June.

2.3. Subordinate & Ministerial Staff.

Submission of APAR form by reportee, to the reporting officer. By 30th April. (e.g. APAR form of 2001-02 by 30.4.2002)	By 30 th April. (e.g. APAR form of 2001-02 by 30.4.2002)
Reporting officer after duly filling the APAR form shall send the By 25 th May. form to reviewing officer.	Ву 25 th Мау.
Reviewing Officer after duly filling the APAR form shall send By 7 th June, the form to accepting officer.	By 7th June.
Accepting officer after duly filling the form shall send the APAR By 30 th June. form to the officer maintaining the dossier of the reportee.	By 30th June.

level prescribed by the concerning department, and shall be completed in prescribed time frame for RFS / Subordinate staff of this (a) The APAR form of the officials who are on deputation to Forest Department from other departments shall be completed as per the 2.4. Official on Deputation:

3. Maintenance of A.P.A.R. Dossiers:

department.

3.1. The APAR dossiers of the officials of Forest Department are maintained as under:

Cadre	APAR Dossier maintained
IFS Officers	Department of Personnel, Government of Rajasthan
RFS Officers	-op-
Subordinate Staff:	PCCF office.
 a) Ranger Grade I / Soil Conservation Assistant. 	
b) Ranger Grade II	PCCF office
c) Junior Engineer / Overseer	PCCF office
d) Inspector	PCCF office
e) Amin / Surveyor / Fieldman / Tracer	CF office / Higher office in which reportee is working.
f) Silt Analyst	-op-
g) Silt Observer	-op-
h) Draftsman	-op-
i) Forester	-op-
i) Asstt. Forester	Dv.CF office / Higher office in which reportee is working.

k) F.G. / Tractor Guard / Game watcher / Tracker etc.	Dy.CF office / Higher officer in which reportee is working.
1) Driver / Pump Driver / Bulldozer Operator	CF Office / Higher office in which reportee is working
m) Ferroman	Dy.CF office / Higher office in which reportee is working
n) Lab Assistant	CF office / Higher office in which reportee is working.
o) Foreman	CF office / Higher office in which reportee is working
p) Chainman / KCC / Carpenter / Labour / Mistri / Motor	Dy.CF office / Higher office in which reportee is working.
Mechanic / Project operator	

3.2. Ministerial Staff:

a)	Administrative officer	Department of personnel
(q	Office Superintendent	PCCF office
(c)	Office Assistant	PCCF office
(p	UDC (including UDC-cum Steno)	PCCF office
(e)	LDC (including LDC-cum Steno)	CF office /Higher office in which reportee is working
t)	P.S.	PCCF office
(S	Sr.P.A.	PCCF office
h)	P.A.	PCCF office
i)	Stenographer	PCCF office

4. Filling of APARs:

4.1. APAR form:

I.F.S.	As prescribed by Department of Personnel, Government of Rajasthan, from time to time.
RFS / Subordinate / Ministerial staff	As prescribed by Department of personnel Government of Rajasthan from time to time

4.2. Filling of form:

IFS	APAR form shall be filled adhering to guidelines issued by M.O.E.F., Government of India & Department of Personnel, Rajasthan from time to time.
RFS / Subordinate / Ministerial staff	APAR form shall be filled adhering to the guidelines issued by Department of Personnel Rajasthan from time to time.

5. Service Books

- Service Book of IFS officers of his deptt. is kept in DOP, Government of Rajasthan.
- Service books of RFS officer is maintained by concerning head of office. Service record is also maintained by PCCF, Raj.
- A service Book, in Form A.T.C.4, is maintained for every nongazetted Government servant.

6. Maintenance of Service Books

6.1. The Rules regarding the maintenance of Service Books are contained in Rules 159 to 163 of the Rajasthan Service Rules and Articles 65 of General Financial and Accounts Rules.

7. F.D. Instructions regarding maintenance of Service Books7.

71 Service Rolls and Service Books in the prescribed form may be maintained for every non-gazetted Government Servant holding a substantive post on a permanent establishment or officiating in a post or holding a temporary post with the exceptions of Class IV Servants and other Government Servants of the categories specified in the Rules. According to Rule 161 of the R.S.R. every step in a Government Servant's official life must be recorded in his Service Book and each entry must be attested by the head of his office or if he himself is the Head of an office, by his immediate supervisor. The Head of the office has to ensure that all entries in the Service Book are duly made and attested. Non-observance of this elementary duty is the main cause of post-retirement troubles of the Government servants and it has been found that one of the principal factors responsible for the delay in the settlement of pension cases is the incompleteness of the Service records. Rule 162 of the R.S.R. casts a duty on every Government Servant to see that his Service Book is properly maintained as prescribed in Rule 161 in order that there may be no difficulty in verifying service for pension.

The Finance Department had advised⁸ all Government servants to check up from time to time whether their Service Records are complete and up to date. The Government have reason to believe, however, that despite the clear provisions contained in the rules and the directions issued the requirements of the rules are not being

^{7.} GO No.F.13(47)-F.II/54 dated 21st October 1954

^{8.} FD Memo No. F.21(2)Fin/II/53 dated the 19th Feb. 1953

properly observed. It has accordingly been decided that the requirements of rule 162 of the Rajasthan Service Rules be made obligatory. The record of service will be made upto the 31st March of each year and must be shown to the Government Servants to whom they relate by the 30th of June. A compliance report must be submitted by the Head of Office direct to the Government so as to reach at the latest by the 15th July next following one copy being simultaneously endorsed by him to his next higher authority. The report must clearly indicate that the service books of the office of the Head of Office have been made up to the 31st March of the year and have been examined by each of the Government Servants concerned. The names of the Government Servants who have examined their Service Books and the substance of their remarks as to the completeness of the record of Service must be given in the report. Names of the Government Servants who have for any reason not been shown their Service Books should be given separately with a statement of reasons as to why the Service Books were not shown to them.

- 3.2. The following instructions for the maintenance of the Service records of all the Non-Gazetted Government Servants in the Forest Department should be followed by all Forest Officers:-
 - (a) All old service books should be closed and new Service Books opened in the prescribed form for all classes of Non-Gazetted servants and all future entries should be made in the next books. The old Service Books should be tagged on to them so that the old service records may not be lost.
 - (b) Verification of Service should be recorded under the signature of the Head of the office once every year with out fail. When a Govt. Servant is transferred, the period of service before transfer should be verified and recorded under the initials of the Head of office of the Station to which the Govt. Servant is transferred. Attestation of signature, thumb impression should be made periodically once every 5 years and for this purpose service verification and attestation slips should be pasted on the Service Books.
 - (c) All dates should be recorded by Christian era and not by Samvat or any other era.
 - (d) A leave account in the prescribed form should be prepared and tagged to the Service Book and whenever any kind of leave

(except causal leave) is availed of, it should be recorded in this form under the initials of the Head of Office.

4. Date of Birth

- 4.1. According to Note 2 of Rule 239 of R.S.R.:-
 - (a) In the case of a Government Servant whose year of birth is known but not the exact date, the 1st July should be treated as the date of birth for the purpose of determining the date on which he should be held to have attained the age of 58 years. And similarly when the month of birth, but not the exact date, is known, the 16h of the month should be taken as the date of birth.
 - (b) No officer can change the recorded date of birth of an employee without approval of Finance Department.

Adverse Entries

- 5.1. The appointing authority, in case of employees of the subordinate and ministerial services and the Department of Personnel in case of officers of the state services, will decide as to which remarks in the PARs will constitute adverse entries and should be communicated to the person reported upon. In case of reporting authority, only the notes recorded under 2 (Xk) will be treated as adverse. For reviewing authority, an entry will not be considered adverse unless the overall assessment is also 'unsatisfactory'.
- 5.2. All adverse entries in the PAR of the government servant, both on performance as well as on the basic qualities and potential should be communicated within the prescribed period. An employee should not, at any time, be kept ignorant of the opinion of his superior officers. Where his service is not considered satisfactory, criticism should be communicated promptly and should indicate in suitable language, the nature of the defects in question. However, to convey only adverse remarks is rather discouraging and leaves the person concerned guessing as to the general purport of his report. To avoid anxiety on this account, the general total impression of his report in brief should be conveyed to the person concerned.
- 5.3. A certain degree of discretion should be made in communicating reported defects of an irremediable nature. For instance, it might do more harm than good to inform a person year after year that his intelligence is below average or that he is unduly sensitive.

- Similarly, remarks about the physical defects of the persons noted in the APR need not be communicated.
- 5.4. While communicating the adverse comments, a mention of the good points should also be made. Similarly, where a report shows that a person has made successful efforts to remedy defects to which his attention has been drawn previously, it should be communicated to him so that he may know that his efforts to improve have not passed un-noticed.
- 5.5. Only such of the adverse entries as are recorded and accepted by the reviewing/accepting authority, if any, need be communicated. The reviewing/accepting authority should therefore, normally indicate whether it agrees or disagrees with the remarks of the reporting officer. It should also record additional remarks, wherever necessary, if the report is too brief, cryptic or vague. Remarks in the cases in which judgement is suspended, should not be communicated. In the cases of Heads of Department and Secretaries, where there is a conflict between the remarks made in regard to an officer by the senior most officer and those made by the Minister-in-charge, in the normal course, both remarks will continue to remain on the PAR and the adverse remarks of either authority would be communicated to the officer concerned.
- 5.6. Any adverse entry recorded in the PAR after it is completely filled up shall be communicated to the person concerned in writing by the authority in whose custody the report is kept, in the prescribed time limit, asking the person to make representation, if so desired, within one month. This communication should be in writing and a record to that effect should be kept in the PAR dossier of the Government servant concerned.
- 5.7. Great attention should be paid to the manner and method of communication in order to ensure that advice given in the warning or censure administered, whether orally or in writing shall, having regard to the temperament of the person concerned, be most beneficial to him. The memo, forwarding the adverse remarks to the person reported upon, should be couched in such a language that it does not produce a sense of resentment in the person reported upon and that it makes it clear to him that the intention of communicating these defects to him is that he tries to improve himself in respect of those defects.

- 5.8. It is not necessary to disclose the identity of the officer who made the adverse remarks while communicating them to the Government servant concerned.
- 5.9. Only one representation against adverse remarks, which are recorded in the PAR of the Government servant, should be accepted within the prescribed time. However, the competent authority may, in its discretion, entertain a representation made beyond his time if there is satisfactory explanation for the delay.
- 5.10. All representations against adverse remarks should be decided expeditiously by the competent authority within the prescribed timelimit. Once a final decision regarding the adverse remarks has been taken, no notice will be taken of any representation received against the adverse remarks.
- 5.11. The following procedure should be adopted in dealing with representations from the employees against the adverse remarks communicated to them-
 - (a) Representation against adverse remarks should be examined by the appointing authority or the Department of Personnel, as the case may be.
 - (b) The competent authority should then call for the comments of the reporting / reviewing / accepting authority as the case may be on the representation of the government servant. However, comments are not required if the reporting / reviewing / accepting officer has retired or demitted the office.
 - (c) In case of state service officers, the file containing the PAR, the representation and comments thereon of the officer making the adverse remarks, if any, will be forwarded to the Secretary to Government in the borrowing department who would record his views and also seek approval of the concerned Minister-in-charge directly, without the case being routed through the Department of Personnel. The file would then come to DOP (A-1/ACR) for further action in the matter. In the event of difference of opinion between the Secretary to Government and the Minister-in-charge regarding the expunction of the adverse entry, the case shall be submitted to the Chief Minister through the Chief Secretary whose decision thereon shall be final. In case of other employees, the decision of the appointing authority shall be final. But in case the appointing

- authority itself has recorded the adverse remarks, then the final decision regarding them will be taken by the officer immediately superior to the appointing authority.
- (d) The matter shall be examined with reference to the rules and regulations keeping in mind the duties and responsibilities attached to the post held by the person concerned, the targets, if any, fixed and achieved, during the period under report
- If it is found that the remarks were justified and the representation was frivolous, a note will be made in the report of the representations that he did not take the correction in good spirit;
- (ii) If there is no sufficient ground for interference, the representation should be rejected and the person informed accordingly;
- (iii) If it is felt that the adverse remarks should be toned down, necessary entries should be made separately at the appropriate place of the report; the correction should not be made in the earlier entries themselves; and
- (iv) In the event of the competent authority coming to the conclusion that the adverse remarks were inspired by malice or are entirely incorrect or unfounded, and therefore, deserved expunction, he should score through the remark, paste it over, or obliterate it otherwise, and should make an entry, with his signature and date, stating that he had done so.
- 5.12. Representations or explanations against adverse entries should not be added to the PARs. These may be placed in a separate file cover along with the PAR file of the Government servant.
- 5.13. No appeal/Review No appeal/review against the rejection of the representation against the adverse entry is allowed.